

Attorney's Docket No. 0145-148

Ruent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	) :	ATTENTION: OFFICE OF INITIAL PATENT
Katsuya SAITO et al. 🗸	)	EXAMINATION'S CUSTOMER SERVICE CENTER
Application No.: 09/544,615	)	Group Art Unit: 2879
Filed: April 6, 2000	) :	Examiner: Unknown
For: LAMP SEAL USING FUNCTIONALLY GRADIENT MATERIAL	) :	

# REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed is a copy of the Official Filing Receipt marked in red to show correction that is needed. The correction is as follows.

The Third Inventor and the City and Country is missing, please add: --Yukiharu TAGAWA.. Himeji-shi, Japan--.

A copy of the first Official Filing Receipt is attached showing the Third Inventor's Name, City and County. Also-enclosed is a copy of the fourth page of the Declaration and Power of Attorney showing the Third Inventor.

Issuance of a corrected Official Filing Receipt is respectfully requested.

Respectfully submitted,

David S. Safran

Registration No. 27,997

NIXON PEABODY LLP 8180 Greensboro Drive, Suite 800 McLean, Virginia 22102 Telephone: (703) 790-9110

Dated: August 29, 2000

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

August 29, 2000

K.M. McManus





# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND COMMISSIONER OF PATENT AND TRADEMARKS Washington. D C 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWI <b>NGS</b>	TOT CLAIMS	IND CLAIMS
09/544,615	04/06/2000	2879	820	0145-148	7	4	1

22204 NIXON PEABODY, LLP 8180 GREENSBORO DRIVE SUITE 800 MCLEAN, VA 22102

Date Mailed: 08/23/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

KATSUYA SAITO, TAKASAGO-SHI, JAPAN, TOYOHIKO KUMADA, HIMEJI-SHI, JAPAN,

YUKIHARU TAGAW + HIMEJI SHI, JAPAR

Continuing Data as Claimed by Applicant

Foreign Applications

JAPAN 11-099427 04/06/1999 JAPAN 11-111510 04/19/1999

If Required, Foreign Filing License Granted 05/10/2000

AUG 2 5 2000

8/25/0

Title

LAMP SEAL USING FUNCTIONALLY GRADIENT MATERIAL

**Preliminary Class** 

313

Data entry by: NELSON, KATHY

Team: OIPE

Date: 08/23/2000

Data entry by: NELSON, KATHY

Team: OIPE

Date: 05/11/2000

# 

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination **Customer Service Center** Washington, DC 20231





# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND

COMMISSIONER OF PATENT AND TRADEMARKS

Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/544,615	04/06/2000	2879	0	0145-148	7	4	1

22204 NIXON PEABODY, LLP 8180 GREENSBORO DRIVE SUITE 800 MCLEAN, VA 22102

Date Mailed: 05/11/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

KATSUYA SAITO, TAKASAGO-SHI, JAPAN; TOYOHIKO KUMADA, HIMEJI-SHI, JAPAN; YUKIHARU TAGAWA, HIMEJI-SHI, JAPAN;

# **Continuing Data as Claimed by Applicant**

### Foreign Applications

JAPAN 11-099427 04/06/1999 JAPAN 11-111510 04/19/1999

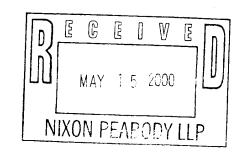
If Required, Foreign Filing License Granted 05/10/2000

Title

LAMP SEAL USING FUNCTIONALLY GRADIENT MATERIAL

**Preliminary Class** 

313





# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFF 5.15. The scope and limitations of this license are set forth in 37 CFF 5.15(a) unless an earlier license has been issued under 37 CFF 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFF 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

## **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

### PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231

唯一实在世第一会明書名		Full name of third joins invent if in Yukiharu TAGAWA	17
<b>分割者の番名</b>	SEP 0 1	Greener's Signalist	6/01/2000
<b>经</b>	- E	The state in a series	
iz.ji	& TRAD		
实 持		Japanese	
k 3 #	,	Penche Access 215-7, Sakamoto, Shig	ou-cho,
		Himeji-shi, Ryogo-ken	, Japan
西口拉阿哥列亚		Full name of fourth joint inventor.	if Zuy
<b>第二次阿克利</b> 奇	8 M	stretters signature	Cate
上		Asstanta	
Œ FF		· Citzership	
		Pest Ciffice Address	
			<del>, '' - ,</del>
			<u>-</u>
推一当たは第一分明書名		Full name of fifth Joins inventor, if a	αy
権一または第一条明確名 見明者の著名	R M	Full name of fifth Joint inventor, if a	Qate
	F (r		
見明者の著名	R AY	inversers squates	
見引者の著名	R AY	Inverteurs signature Reside/IEs	
見引者の著名 空所 実別	R AY	Austrian's signature  Austrian's signature  Carcership	
R男性の著名 空間 三月	BM	Austrian's signature  Austrian's signature  Carcership	Qair
見明者の著名 空所 取音項 の言項 のこれ両限明者		Pull name of sixth joint inventor, if an	Qair
見明者の著名 空所 取音項 の言項 のこれ両限明者	R MY	Pull name of sixth joint beventor, if and appropriate to the sixth point beventor.	Qais AV
R男性の著名 空間 三月		Pull name of sixth joint inventor, if an	Qais AV
民事者の著名 空間 可可 の口質 が 前に共同発明者 の一井の発明者		Pull name of sixth joint beventor, if and appropriate to the sixth point beventor.	Qais AV
足別者の著名 注所 本月 のご知 のご知 のご知 のご知 のご知 のこかの発明者 のこたの発明者 を所		Post Office Address  Pull name of sixth joint inventor, if an appropriate signature  Residence	Qais AV

ることと

joint inventors.